



Stephan M. Klinger

General information

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Nationality: Austria / EU

Date of birth: 09-28-1977

Place of birth: Linz / Austria

Family status: married, 3 sons

KEY FACTORS

- **People** – more than 15 years of leading and developing employees
- **Change** – strong impetus to change in strategic novel ways
- **Perspectives** – strong academic background in 4 different fields
- **Complexity** – desire to successfully solve complex problems
- **Financial Industry** – 15 years of expertise covering diverse perspectives (central bank, lawyer, regulator, bank, insurance)
- **Academia** – more than 20 years of international and national academic teaching experience

Work Experience

- July 24 - **Regulatory Consultant**
Senior Expert Counsel with LGP Law, Vienna
- Jan 22 - June 24 **Head of Legal (Austrian National Bank, Vienna)**
Serving as Head Legal Advisor to the Austrian Central Bank
Serving as Head of the National Competent Authority for the enforcement of EU financial sanctions
Reporting line: Governing Board
- April 17 - Dec 21 **Head of Group Compliance (Association of Austrian Volksbanken, Vienna)**
Centralizing Legal and Compliance tasks association-wide
Reporting line: Board of directors
- May 15 – March 17 **Head of Group Legal (Vienna Insurance Group)**
Leading group wide projects and establishing HRD
Reporting line: Board of directors
- June 13 - April 15 **Head of „Legal & Compliance“ (ÖVAG, Vienna)**
Restructuring and downsizing of a systemic European banking group in a legally unique way; demerger of a wind-down-entity
Reporting line: Board of directors
- September 10 - May 13 **Departmental Head “Legal Treasury, Capital Markets and Asset Management” (Österreichische Volksbanken-AG)**
Providing all relevant legal services to treasury and trading departments including litigation
Procuration/collective powers of attorney (September 2012)
- November 07 - August 10 **Team Leader (Austrian Financial Markets Authority) Head of Prospectus Team**
Delegated representative of Austria to the CESR Prospectus Group and the ESMA SC “Corporate Finance”
- 03/06-06/07 **Trainee Lawyer (Eisenberger & Herzog, Vienna)**
06/05-02/06 **Compulsory Legal Internship at Austrian Courts**
09/04-05/05 **Project leader (University of Applied Sciences Eisenstadt)**
02/02-09/03 **Staff position at the university’s president office, WU Vienna**
06/99-01/04 **Study program “General Management” coordinator WU, Vienna**

Education

Ongoing trainings and attendances at relevant conferences

02 / 24	Awarding of the title “Prof. (FH)” UAS Ferdinand Porsche
10 / 23	Central Banker Seminar Federal Reserve Bank of New York
05-07 / 21	Machine Learning: Practical Applications The London School of Economics and Political Science (online)
07-08 / 20	Negotiation Mastery Harvard Business School (online)
07 / 20	Telling stories using big data; programming language Python Anif forum for journalists #AFJ 2020
06-12 / 12	Training program “Leading with mediative competence”
03-04 / 10	Certified Stock Exchange Trader Spot Market and Futures Market (Systems: Xetra® and EUREX)
03/02-03/05	MA Legal Studies, University of Vienna Major: financial market law
09/00-03/03	PhD Management, WU Vienna (Magna cum laude) Dissertation: “From revenue stamps to credit cards: Business process innovation in Austrian civil service”
03/97-01/02	MA in Philosophy and Social Anthropology, University of Vienna – Major: Theory of Science Thesis: “Nietzsches understanding of science: Dionysus, Apollo, Socrates”
07-08 / 00	Advanced training program at the University of Kiev (UA) Major: International trade and company law
09/96-08/00	MA Management, WU Vienna Major: HRM, information management, regional development Thesis: “The economic effects of the fall of the Iron Curtain on Austrian foreign trade structures”

Awards

06 / 23	OeNBs Legal Division listed in GC Powerlist Austria (Legal500)
09 / 22	Listed as Legal Council in GC Powerlist Austria (Legal500)
02 / 14	“Innovative Teaching Award” for outstanding didactical concepts. Assigned by the Rector of WU Vienna.
12 / 12	Award for special services (awarded by CEO Dr. Stephan Koren)
11 / 06	Best scientific Paper Award (awarded by City of Vienna) – endowed with 25,000€, presented for outstanding scientific publications in the field of economics.
10 / 05	WU-Innovation Award
04 / 04	Research fellowship WU, Vienna
07 / 01	Chosen as one of five Austrian “High-Potentials”
08 / 00	Fellowship of the European Forum in Alpbach
04 / 99	Chosen as „Best Conference Speaker“ (Vienna Model Conference of the UN”)

Lecturing activities

For more than 25 years my academic lectures combine aspects of philosophy, anthropology, business and law

Selected list of topics

- Compliance, Securities Law, Sanctions Law
- Capital Markets
- EU regulation issues
- Strategic management
- Change management
- Human resource philosophy
- IHRM
- Project Management, Negotiation Management
- Concepts of the body in philosophy and anthropology

Academic teaching

Austria:

Academy of the Central Bank; BOKU University; WU Wien; JKU Linz; TU Wien; UAS Burgenland; UAS Campus; UAS BFI; UAS Ferdinand Porsche; University of Vienna

Czech Republic:

MU Brno; Charles University Prague

Croatia:

University Zagreb

Hungary:

University of Debrecen

India:

Management Development Institute Gurugram

Russia:

Lomonossov Moscow State University

Thailand:

Chulalongkorn University

USA:

Miami University (Ohio)

Ukraine:

Hetman University Kiev

International: World Gold Council and selected companies/institutions

Topical examples

- Let's write the Book of Humankind – Data philosophy
- Negotiation management and the media
- Purpose and Sensemaking in Organisations
- The Finance Busters – delivering Financial Knowhow with humour

Scientific directorate posts held

2017 - 2021	Managing director of the Austrian Academy of Management & Leadership
2014 – 2019	Academic director of the MBA-specialisation „Business Law“ at the Linzer Management Akademie (LIMAK)
2009 – 2012	„Academic director“ of the ERSTE BANK Danubia summer university (participating universities: Belgrad, Bratislava, Kiev, Prag, Zagreb,...)

Publications

For 20 years I have been publishing regularly in academic journals on management, law and data philosophy as well as in popular media on financial education topics

Full list: <https://research.wu.ac.at/en/persons/stephan-klinger-4/publications/>